

TO: Montréal Exchange (MX) Approved Participants (APs) and Foreign Approved Participants (FAPs)

FROM: Montréal Exchange – Technical Help Desk – 1-877-588-8489 samsupport@m-x.ca

SUBJECT: LOPR Project - **MX LOPR ID Request form and LOPR Software License Agreement and Request Form.**

DATE: June 10, 2011

In preparation for the Go Live date of July 25, 2011, the MX would like to provide the steps and associated fees required to obtain login credentials concerning connectivity to the Production Environment using the LOPR SAIL Protocol and/or the LOPR Reporting GUI Application.

LOPR ID Request Form

Participants who have submitted their Production access request forms must now fill in and submit the attached **LOPR ID Request form**.

Participants using the GUI Reporting Application will also need to complete a copy of the **LOPR Software License Agreement and Request Form**. This will be issued by the MX Technical Help Desk (THD) shortly.

Multiple connections and Firm ID requests

For APs/FAPs who have separate business units holding positions that need to be reported to the Regulatory Division and for whom it is not possible to report on a single source (connection), the LOPR system provides the flexibility to report from multiple business units within the same firm. For the purpose of connecting to the production LOPR service, firms that require a separate connection to access MX servers will need to submit one form per connection.

Please note that accounts and positions information will be shared among the different users of a same firm. However, for those who would prefer to have distinct access to the information reported, a separate data access is available on a per business unit level.

Once clients have established a connection to the production MX LOPR service, they will then be ready to request their IDs and are invited to fill in the LOPR ID Request Form. Please fill one form per business unit (the actual field in the form is called "Firm Unit").

Clients that have been delegated this task and have agreed to take on the responsibility to submit on behalf of an MX AP or FAP will also have to submit a separate LOPR ID Request Form for each firm on behalf of which they will be filing position reports. In these cases, the "Name of Approved Participant or Foreign Approved Participant" box must indicate the name of the firm that has delegated the position reporting task to your firm and the "Name of Third Party Service Provider" is the name of your firm. Also take note that each Firm ID Request Form that is completed on behalf of a firm that has delegated position reporting to your firm must be signed by your firm as the "Third Party Service Provider" and by an authorized representative of the firm that has given you such delegation as the "Approved participant or Foreign Approved Participant".

No ID numbers will be given out for requests that do not contain all the required signatures.

Associated Fees:

- MX - Direct line, 3rd party service provider or VPN Connectivity Fees –For direct line and 3rd party service provider, we refer you to the section entitled “B. Markets – General Fees” of the 2011 MX List of Fees available on MX’s Website at http://www.m-x.ca/f_publications_en/bourse_list_fees_2011.pdf For a VPN connection, a fee of approximately \$29.95 CAD per month will be applied. This fee is subject to review and approval by the MX Board of Directors.
- MX-GUI Reporting Application fees – Participants that intend to use this tool will find the associated fees in the LOPR Software Licence Agreement and Request Form – This fee will be approximately \$200.00 CAD per month and is subject to review and approval by the MX Board of Directors. Please note the LOPR Software License Agreement and Request Form will be sent out to the participants once the fee is approved by the MX Board of Directors.
- MX-SAIL Protocol fees – No fees

Please note:

MX Support Desk support hours:

- Production Environment availability hours are from 1:00 AM to 10:00 PM ET.
- Business support is available from Monday to Friday, from 7:00 AM to 5:00 PM ET. This covers all types of inquiries, incidents or problems (general inquiries, connectivity issues, application issues, etc.).
- Technical support is available on a 24/7 basis and is reserved for incidents or problems (outages, connectivity issues, urgent issues, etc.).

Contact Information

Please forward all questions regarding the LOPR to **MX Technical Help Desk 1-877-588-8489** or email samsupport@m-x.ca

We thank you for your cooperation.