

CIRCULAR 035-24

April 4, 2024

**REGULATORY DIVISION ANNUAL REPORT
AUTORITÉ DES MARCHÉS FINANCIERS' INSPECTION REPORT**

The Regulatory Division (the “Division”) of Bourse de Montréal Inc. (the “Bourse”) is publishing its annual report for the period of January 1 to December 31, 2023. This report provides general information on the Division’s regulatory activities.

You may find the annual report [here](#).

Additionally, in 2023, the *Autorité des marchés financiers* (the “Autorité”) carried out an inspection in order to confirm the manner in which the Bourse, through the Division, exercises its functions and powers as a self-regulatory organization and the extent to which it complies with the provisions of law applicable to it, as well as the decisions issued by the Autorité. The report, dated December 20, 2023, covers the period from 2020 to 2022.

This review and the findings resulting from the work carried out are part of the process of optimizing the Division’s activities. The Division therefore welcomes the four findings of the Autorité and is already working on their resolution.

To view the Autorité’s report, [click here](#).

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