



CIRCULAR 122-21

June 29, 2021

CALL FOR MEMBERS CLIENT IDENTIFIER WORKING GROUP

The Regulatory Division (the “Division”) of Bourse de Montréal Inc. (the “Bourse”) is issuing a call for members of its Client Identifier Working Group (the “Working Group”) for a term spanning July 2021 to December 2022. The Working Group was created by the Division to engage more formally in discussions with Approved Participants and foreign Approved Participants (together, “Participants”) of the Bourse. The purpose of the Working Group is to create a forum for the Division and Participants to exchange ideas, insights and perspectives regarding the regulation of client identifiers, the forthcoming rule amendment proposal, future guidelines and FAQs. The mandate of the Working Group is in Annex 1.

To become a member of the Working Group, an applicant must be an individual employed and authorized by a Participant. Individuals representing a third party service provider are also eligible to participate so long as they are referred by a Participant. The Working Group will comprise a maximum of 20 members. If the number of candidates exceeds the number of positions available, the Vice-President of the Division will select the members so as to ensure representation of diverse perspectives. Should a member vacate a position and not be replaced by another representative of the Participant, the Vice-President of the Division shall appoint a new member based on the existing pool of applicants.

The Working Group will meet on a bi-weekly basis for the first three months, from end of July to September 2021 inclusively, and then monthly thereafter.

The Project Manager, Systems and Data Service, of the Division will coordinate the activities of the Working Group. Applicants may submit their candidacies in the form of an email, no later than July 15, 2021 to the attention of Katy Samson, Project Manager, Systems and Data Service by email at katy.samson@tmx.com.

Julie Rochette
Vice President and Chief Regulatory Officer
Regulatory Division