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<input checked="" type="checkbox"/> Back-office – Futures	<input checked="" type="checkbox"/> Regulation

CIRCULAR 131-16

October 28, 2016

**HEARING BEFORE THE DISCIPLINARY COMMITTEE
OF BOURSE DE MONTRÉAL INC. — OFFER OF SETTLEMENT**

ABN AMRO CLEARING CHICAGO LLC

The Disciplinary Committee of Bourse de Montréal Inc. (the “Bourse”) will hold a hearing on November 21, 2016 at 2 p.m., in order to adjudicate on an offer of settlement negotiated between the staff of the Regulatory Division of the Bourse and ABN AMRO Clearing Chicago LLC (“ABN AMRO”), an approved participant of the Bourse, in connection with a disciplinary complaint filed against ABN AMRO.

Location: Bourse de Montréal Inc.
Salle du conseil
800, rue du Square-Victoria
4th Floor
Montréal, Québec

The proposed offer of settlement concerns allegations that ABN AMRO provided its employees access to the electronic trading system of the Bourse between January 24, 2011 and June 20, 2014 without having obtained prior approval by the Bourse and did not establish policies and procedures to that regard.

In accordance with article 4154 of the Rules of the Bourse, this hearing will not be open to the public until the offer of settlement has been accepted by the Disciplinary Committee of the Bourse.

For further information, please contact Ms. Julie Rochette, Director and Legal Counsel, Enforcement and Investigations, Regulatory Division, at 514 871-3516 or by e-mail at julie.rochette@tmx.com.

Sabia Chicoine
Chief Legal Officer, MX, CDCC