



CIRCULAR 131-22

November 7, 2022

PARTICIPANT PORTAL UPDATE

The Regulatory Division (the “Division”) of Bourse de Montréal Inc. (the “Bourse”) will be launching two new modules on the [Participant Portal](#) (the “Portal”) on November 7, 2022. The first new module will enable the reporting of OTC options transactions pursuant to [Article 6.501](#). The “OTC Transaction Reporting” module will be accessible under the “Regulatory Requirements and Information” tab. Reports will be required to be uploaded in .csv format, contain all field headers, and respect field formats specified below.

The OTC Options Transaction Report fields required for the Portal will be the following:

Field	Description
Date de la l'opération / Transaction Date	Date for the related transaction. Format 'YYYYMMDD'
Symbole du sous-jacent / Underlying Symbol	SOLA underlying symbol for the related OTC option.
Date d'expiration/Expiration Date	Expiration date for the related OTC option. Format 'YYYYMMDD'
Prix de levée / Strike Price	Strike price for the related OTC option.
Put/Call	Identifies whether the OTC option is a call or a put. Possible values: Put Call
Style de levée / Exercice Style	Identifies the exercise style for the related OTC option. Possible values: A= American E= European
Achat ou Vente / Buy or Sell	Identifies if the transaction is a buy or sell transaction. Possible values: Buy Sell
Quantité/ Quantity	Quantity for the related transaction.
Unité de négociation (e.g: 1 contrat = 100 actions) / Trading unit (ex: 1 contract = 100 stocks)	Identifies contract size for the related OTC option.
Opération compensée par la CDCC?/ Transaction cleared by CDCC?	Identifies whether the OTC transaction is cleared by CDCC: Yes No



OTC options transaction reports will be accepted by email at position@tmx.com until December 30, 2022. Any report not submitted via the OTC Reporting module past this date will be rejected.

Please refer to Annex 1 for an updated FAQ on Trading OTC Options.

The second new module launching on November 7, 2022 will be for reporting order marker corrections pursuant to paragraph [3.105\(c\)](#). The “New Order Marker Correction” module will be accessible under the “Regulatory Requirements and Information” tab under “New Order Marker Correction”. Reports will be required to be uploaded in .csv format, contain all field headers, and respect field formats specified below.

The Order Marker Correction fields required for the Portal will be the following:

Field	Description
ClearingType	Operation type. Possible values: Trade Allocation Give-up
ExecutionDateTime	Execution date time for related transaction: Format 'YYYY/MM/DD 00:00:00.000'
AccountType	Identifies the account type for the related transaction. Possible values: Firm Client Pro Insider
ClientAccountNumber	Account Number related to transaction.
Verb	Identifies the side of the transaction. Possible values: Buy Sell
TradeSymbol	Exchange SOLA trade symbol for the related transaction.
AllocationSymbol	Exchange SOLA trade symbol for allocation of the related transaction.
AllocationPrice	Allocation price for the related transaction.
TradeVolume	Allocation volume for the related transaction.
TradingNo	SOLA trade number for the related transaction.
GiveUpFirm	If the trade was a give-up, the field should be populated with the give-up firm. Format 'BDM000'



BaseKey.Identifier	SOLA trade key for the related transaction.
Intent To Cross	Marker which indicates if a trade is prearranged. Possible values: True False This field is not mandatory

Please note that the legacy module named “Order Marker Correction” will be available for submissions until December 30, 2022. Afterwards, the “New Order Marker Correction” module will be renamed “Order Marker Correction” and legacy format reports will no longer be accepted.

Please refer to Annex 2 for an updated FAQ on Order Identification.

For more information or for any questions, please contact the Regulatory Division:

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- 514-787-6530
- Toll-free from Canada and US at 1-800-361-5353 extension 46530
- Toll-free from the UK and France at 00 800 36 15 35 35 extension 46530

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