

CIRCULAR 140-19
November 06, 2019

**RESIGNATION OF A FOREIGN APPROVED PARTICIPANT
SMBC CAPITAL MARKETS, INC.**

On November 05, 2019, the Special Committee – Regulatory Division of Bourse de Montréal Inc. approved the resignation of SMBC Capital Markets, Inc., a foreign approved participant of Bourse de Montréal Inc. This change became effective at the end of the business day on November 05, 2019.

For further information, please contact Ms. Claude Baril, Director, Enforcement and Regulatory Affairs, at 514 871-3595, or by e-mail at claude.baril@tmx.com.

Julie Rochette
Vice President and Chief Regulatory Officer
Regulatory Division