



## **CIRCULAR 149-20**

September 8, 2020

### **REGULATORY DIVISION'S PARTICIPANT PORTAL RELEASE OF THE DEA CLIENT MODULE**

The Regulatory Division (the "Division") of Bourse de Montréal Inc. (the "Bourse") is pleased to announce the immediate release of the new Direct Electronic Access (DEA) Client module of the Participant Portal (the "Portal") to facilitate reporting, editing and accessing DEA Client information. This new functionality will enable Approved Participants to access and modify their DEA Client list in real time.

The DEA Client information allows the Division to monitor the trading of DEA clients on its marketplace. We remind Approved Participants that, as per article 3.5(b)(VII) and 3.5(b)(VIII) of the Rules of the Bourse, they must promptly inform the Bourse of any modification to their DEA Client list.

All current DEA Client information will be transferred by the Division to the Portal. On September 8th, 2020, every Approved Participant's administrator will automatically be given access to the DEA Client module and will have the capability to further grant such access. Approved Participants will then be required to validate the accuracy and completeness of the information held by the Division and correct any discrepancies.

As of October 8<sup>th</sup>, 2020, each Approved Participant will be responsible for maintaining the accuracy and completeness of their DEA Client information in the DEA Client module and for updating it when required. The Division strongly encourages all Approved Participants to use the DEA Client module immediately as the other methods to submit DEA Client information will no longer be accepted after October 8<sup>th</sup>, 2020. Any other way of communication to modify or update the DEA Client list will be rejected and the email address [client\\_id\\_req@tmx.com](mailto:client_id_req@tmx.com) will be decommissioned on that day.

For more details on how to access the DEA Client module and information about the DEA Client module please consult the [Division's web training page](#) and the related training video.

For more information on the Portal, please contact Ms. Nathalie Tessier, Senior Coordinator, via phone 514 787-6552, or by email [nathalie.tessier@tmx.com](mailto:nathalie.tessier@tmx.com).

**Deloitte Tower**

1800 - 1190, Avenue des-Canadiens-de-Montréal C.P 37 Montréal, Quebec H3B 0G7

Telephone: (514) 871-2424

Toll-free within Canada and the U.S.A.: 1 800 361-5353

Website: [www.m-x.ca](http://www.m-x.ca)



For more information on the DEA Client list, please contact Mr. Peter Kadowaki, Senior Analyst, via phone 514-787-6530, or by email [peter.kadowaki@tmx.com](mailto:peter.kadowaki@tmx.com) or Mr. Philippe Miller, Market Analyst, by email at [philippe.miller@tmx.com](mailto:philippe.miller@tmx.com).

Julie Rochette  
Vice President and Chief Regulatory Officer  
Bourse de Montréal Inc.